# Braided and symmetric internal groupoids

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- ► CROSSED MODULES AND WEAK MORPHISMS
- ► BRAIDING AND SYMMETRY

## Intro

We are concerned with the study of the algebraic properties of categories internal to a semi-abelian category  $\mathcal{E}$  (leading example  $\mathcal{E} = \mathbf{Gp}$ , the category of groups).

$$C_1 \times_{C_0} C_1 \xrightarrow{m} C_1 \xrightarrow{c} C_0$$

Since  $\mathcal{E}$  Mal'cev,  $Cat(\mathcal{E}) = Gpd(\mathcal{E})$ .

**Fact.** A category in **Gp** is the same as a group in **Cat**, i.e. a strict 2-group.

The weak version of this, **categorical groups**, arose in algebraic geometry (gr-categories). They have been extensively studied and notions such as that of commutativity, of exact sequences, factorization system, etc. have been introduced and studied.

## Weak morphisms

Is it possible to develop a similar theory in an intrinsic setting?

To answer this question, it is important to decide how the objects organize in a 2-category.

For the 2-category of 2-groups (strict categorical groups) there are (at least) two meaningful notions of morphisms:

- internal functors
- monoidal functors

## Weak morphisms

#### Internal functors... ( Strict monoidal functors)



i.e.  $(F_1, F_0)$  are group homomorphisms, compatible with the categorical structure of  $\mathbb{H}$  and  $\mathbb{G}$ . The corresponding 2-category is denoted **2Gp**<sub>str</sub>.

## Weak morphisms

Monoidal functors...

$$\begin{array}{c} H_1 - \frac{F_1}{-} > G_1 \\ d \left| \uparrow \right| c & d \left| \uparrow \right| c \\ H_0 - \overline{F_0} > G_0 \end{array}$$

i.e.  $(F_1, F_0)$  are functions in **Set**, compatible with the categorical structure of  $\mathbb{H}$  and  $\mathbb{G}$ , and with the group operations **only up to isomorphisms**. The corresponding 2-category is denoted **2Gp**.

## Weak morphisms

Many important properties of 2-groups cannot be observed with only the strict monoidal functors available. Need an internal notion of (weak) monoidal functor.

**Theorem** (Vitale 2010) The embedding  $2Gp_{\rm str} \rightarrow 2Gp$  is the bicategory of fractions of  $2Gp_{\rm str}$ , w.r.t. the class of internal weak equivalences.

The analogous result holds for 2Lie = Gpd(Lie)

# Weak morphisms

**Theorem** (Mantovani, M., Vitale 2011) Let  $\mathcal{E}$  be Barr-exact. The bicategory of fractions of **Gpd**( $\mathcal{E}$ ) w.r.t. (internal) weak equivalences can be described by **fractors**, i.e. profunctors

$$\mathbb{H} \xrightarrow{E} \mathbb{G}$$

whose canonical span representation has the left leg a surjective weak equivalence.

Fractors organize in a bicategory  $Fract(\mathcal{E})$ .

Fractors give a notion of weak morphism of internal groupoids in  $\mathcal{E}$ , equivalent to that of monoidal functors when  $\mathcal{E} = \mathbf{Gp}$ .

## Crossed modules in ${\cal E}$ - I

For making computations easier with 2-groups and strict monoidal functors, one can use **crossed modules of groups**.

This notion has been internalized in the semi-abelian context by G. Janelidze, so that **internal crossed modules** can be used for computing with internal groupoids and internal functors.

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# Crossed modules in $\mathcal{E}$ - II

An internal crossed module  $\mathbb G$  in a semi-abelian category  $\mathcal E$  [J 2003], with "Smith = Huq" can be described [MF VdL 2010] as a pair

$$G_0 \flat G \xrightarrow{\xi} G \xrightarrow{\partial} G_0$$

making the diagrams commute:



A (strict) morphism of crossed modules  $\mathbb{H} \longrightarrow \mathbb{G}$  is a pair of equivariant morphisms  $F: H \longrightarrow G$ ,  $F_0: H_0 \longrightarrow G_0$ .

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# Crossed modules in $\mathcal{E}$ - III

Theorem. (Janelidze 2010) There is an equivalence of categories

 $\underline{\textbf{Xmod}}(\mathcal{E}) \simeq \underline{\textbf{Gpd}}(\mathcal{E})$ 

**Exercise.** The equivalence above underlies a bi-equivalence of 2-categories

 $\textbf{Xmod}(\mathcal{E})\simeq\textbf{Gpd}(\mathcal{E})$ 

# Crossed modules in ${\mathcal E}$ - IV

We can extend the biequivalence:

$$\begin{array}{rcl} \mathsf{Xmod}(\mathcal{E}) &\simeq & \mathsf{Gpd}(\mathcal{E}) \\ \downarrow & & \downarrow \\ ?\mathsf{Butt}(\mathcal{E}) &\simeq & \mathsf{Fract}(\mathcal{E}) \end{array}$$

As fractors model weak morphisms of groupoids, there is a notion of weak morphism of crossed modules, that corresponds to fractors under the (bi)equivalence: **butterflies**.

## Internal butterflies - I

Butterflies were introduced by B. Noohi in [Noo05] for the category of groups. Here we recall their internal definition [AMMV11]. A butterfly  $E: \mathbb{H} \longrightarrow \mathbb{G}$ :



- i.  $(\kappa, \rho)$  is a complex
- ii.  $(\iota, \sigma)$  is an extension
- iii. iv. the two diagrams on the right commute

# Internal Butterflies - II

Fact: butterflies correspond to fractors.



Composition, identities and 2-morphisms of butterflies can be obtained from the corresponding notions for fractors.

## Internal Butterflies - III

A 2-cells  $E \Rightarrow E'$  corresponds to a morphism in  $\mathcal{E} f : E \rightarrow E'$  s.t. all the following diagrams commute:



Butterflies and 2-cells form a locally groupoidal bicategory  $Butt(\mathcal{E})$ . • Examples

# Kernels of butterflies - I

We can use butterflies in order to apply the methods used for 2-groups in a wider context.

Example: The kernel of a Butterfly

We translate the construction of the standard h-kernel for 2-groups:



We obtain a crossed module  $\mathbb{K}$ , a morphism  $K : \mathbb{K} \to \mathbb{H}$  and a 2-morphism  $NE \Rightarrow 0$  universal w.r.t. (homotopic) universal property.

# Kernels of butterflies - II

Recall from [E K VdL 2005] that the (only non-trivial) **homology objects** of a crossed module  $\partial : H \to H_0$  are

$$\begin{aligned} \mathcal{H}_0(\partial \colon H \to H_0) &= \operatorname{coker}(\partial) \\ \mathcal{H}_1(\partial \colon H \to H_0) &= \operatorname{ker}(\partial) \end{aligned}$$

They correspond to the homotopy invariants  $\pi_0$  (connected components) and  $\pi_1$  (automorphism of 0), so that weak equivalences coincide with homology isomorphisms.

This fact has applications...

## Kernels of butterflies - III

#### Proposition: From a kernel diagram



one can get the long exact sequence:

$$0 \to \mathcal{H}_1(\mathbb{K}) \to \mathcal{H}_1(\mathbb{H}) \to \mathcal{H}_1(\mathbb{G}) \stackrel{\delta}{\to} \mathcal{H}_0(\mathbb{K}) \to \mathcal{H}_0(\mathbb{H}) \to \mathcal{H}_0(\mathbb{G})$$

▶ Proof.

# Cokernels of butterflies

Can we construct cokernels of butterflies as we have done for kernels?



This way we do not obtain a crossed module: the arrow  $\partial: C \to G_0$  is just a morphism in  $\mathcal{E}$ .

Again, the case of 2-groups shows the way:  $E: \mathbb{H} \longrightarrow \mathbb{G}$  needs to be **braided**.

# Braiding

Braidings and symmetries are higher dimensional generalizations of the notion of **the commutativity of an algebraic operation**. At the (1-)categorical level this condition is internal: for  $\mathcal{E}$  unital, an object *G* is **commutative** if it is endowed with a magma structure, i.e. there exists an "operation"

$$P\colon G\times G\longrightarrow G$$

that makes the triangles commute



This condition is too strong if applied to internal groupoids or to crossed modules.

# Braiding

A notion of braided crossed module (of groups) comes from homotopy theory – from the Samelson product [W 1974].

For the case of 2-groups, the notion of braiding has been developed in the wider context of monoidal categories by Joyal and Street [J S 1986].

We start from the last, since 2-groups better fit the conceptual framework: we will come back to crossed modules *via* butterflies.

# Braided 2-groups - I

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A braided 2-group [A. Joyal R. Street 1986] is a 2-group ( $\mathbb{G}, +, 0$ ) equipped with a braiding function  $t: G_0 \times G_0 - \rightarrow G_1$  such that: ( ) $\sim$ 

The braiding is symmetric if moreover

4. 
$$t(y,x) = t(x,y)^{-1}$$

# Braided 2-groups - II

#### The following facts are equivalent:

- G is braided
- $\blacktriangleright +: \mathbb{G} \times \mathbb{G} \longrightarrow \mathbb{G} \text{ is monoidal}$
- G is a weak commutative object in 2Gp, i.e. there exist P monoidal and two 2-iso ℓ and r



Only the third notion is internal...

# Braided internal groupoids

#### Definition.

A braided internal groupoid is a  $\mathbb{G}$  equipped with a fractor  $P: \mathbb{G} \times \mathbb{G} \longrightarrow \mathbb{G}$  and two 2-isomorphisms that make it a weak commutative object in **Fract**( $\mathcal{E}$ ).

A **morphism of braided groupoids** is a fractor between them compatible with the braidings up to 2-isomorphism.

Now we can see how we can rid of the 2-cells in the definition and give a description of braided crossed modules.

## Braided internal crossed modules - I

**Definition** - **Proposition.** A crossed module  $\mathbb{G}$  is braided (give rise to a braided groupoid) if(f) it is equipped with a butterfly *P* and two morphisms  $s_1, s_2$ 



such that the diagrams commute:



## Braided internal crossed modules - II

**Remark.** Given a braiding  $(P, s_1, s_2)$  on a crossed module  $\mathbb{G}$  we define the morphism  $c_G : (G_0|G_0) \to G$ , that is the unique arrow that makes the diagram commute (... and a pullback):

This gives a connection with the classic notion of braided crossed module of groups.

## Braided internal crossed modules - III

**Definition.** [??, Conduche 1983] A **braided crossed module** is a crossed module  $G \xrightarrow{\partial} G_0$  endowed with a map

 $\{ \ , \ \} \colon \mathit{G}_0 \times \mathit{G}_0 - \operatorname{\succ} \mathit{G}$ 

such that, for any x, y, z in  $G_0$ , and a, b in G,

1. 
$$\{x, y + z\} = y \cdot \{x, z\} + \{x, y\}$$
  
2.  $\{x + y, z\} = \{x, z\} + x \cdot \{y, z\}$   
3.  $\partial\{x, y\} = [y, x]$   
4.  $\{\partial a, x\} = x \cdot a - a$   
5.  $\{y, \partial b\} = b - y \cdot b$ 

## Braided internal crossed modules - V

Internally, we do not have (yet!) a characterization of braided crossed modules in terms of the morphisms

$$c_G: (G_0|G_0) \longrightarrow G ,$$

but they seem to be relevant for some constructions, for instance, for the cokernel of a butterfly.

## Braided internal crossed modules - IV

In order to understand the definition of braided crossed module we observe that the diagrams



of the definition underlie two (strict) morphisms of crossed modules

$$S_1: \mathbb{G} \longrightarrow \mathbb{P} \qquad S_2: \mathbb{G} \longrightarrow \mathbb{P}$$

# Braided internal groupoids - Reprise

We obtain the following characterization:

**Proposition.** A groupoid (crossed module)  $\mathbb{G}$  is braided iff it is endowed with a fractor (butterfly)

$$\mathbb{G}\times\mathbb{G}\xrightarrow{P}\mathbb{G}$$

with canonical span representation  $(\Gamma, \mathbb{P}, \Delta)$  and two internal functors (morphisms)  $\mathbb{G} \xrightarrow{S_i} \mathbb{P}$ , i = 1, 2, such that



## Symmetric internal groupoids I

The symmetry condition  $t(y, x) = t(x, y)^{-1}$  can be re-stated by saying that *t* is not only natural, but also monoidal, or equivalently, in terms of the operation *P*.

This gives the definition of **symmetric internal groupoid**: a braided internal groupoid ( $\mathbb{G}$ , P,  $S_1$ ,  $S_2$ ) with a 2-cell t



**Remark:** also in the internal case, being symmetric does not add structure to the braiding: the 2-cell *t*, if it exists, is **unique**.

# Symmetric internal groupoids II

It is remarkable to observe that **symmetry** may coincide with **braiding**:

Example: 2-Lie Algebras.

A braided 2-Lie algebra L has, for any pair of objects x, y a natural isomorphism

$$[x, y] \xrightarrow{\sim} 0$$

Every braided 2-Lie algebra is automatically symmetric.

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# Kernels of butterflies - proof





## Examples of butterflies

We show how to construct the weak morphism associated to a butterfly in the cases of groups, Lie algebras and Rings.

## The technique

Let us consider the butterfly E in a semi-abelian algebraic variety C, and let  $U : C \to S$  (the axiom of choice holding in S) a suitable "forgetful" functor. Let s be a section of  $\sigma$  in S:



The w.e. of the canonical span  $\mathbb{H} \stackrel{\Sigma}{\longleftarrow} \mathbb{E} \stackrel{R}{\longrightarrow} \mathbb{G}$  associated to E is an equivalence in  $\mathbf{Gpd}(\mathcal{S})$ , so that it has a weak inverse  $\Sigma^*$ . The composition  $\Sigma^*R$  in  $\mathbf{Gpd}(\mathcal{S})$  is the weak morphism  $\mathbb{H} \to \mathbb{G}$ . Coherence conditions are encoded in the extension of the butterfly.

## Examples of butterflies: Groups I

Let  $C = \mathbf{Gp}$ , and  $U : \mathbf{Gp} \to \mathbf{Set}_*$ . Under the equivalence between crossed modules and groupoids,  $\partial : H \to H_0$  yields the groupoid

$$G_1 = G \rtimes G_0 \xrightarrow[]{d}{\underbrace{\prec e}{c}} G_0 \qquad \text{where}$$

$$c: (g, x) \mapsto x, \qquad d: (g, x) \mapsto \partial g + x, \qquad e: x \mapsto (0, x).$$

Define the monoidal functor  $F_E = (F_0, F_1, F_2)$ :

$$\begin{array}{rcl} F_0 &=& s\rho \colon H_0 \to G_0; & x \mapsto \rho(sx) \\ F_1 &=& F \rtimes F_0 & \text{where} \\ & & F \colon H \to G; & h \mapsto -\kappa(h) + s(\partial(h)) \\ F_2 & \colon & H_0 \times H_0 \to G_1; & (x,y) \mapsto (sx + sy - s(x + y), \rho(s(x + y))) \\ \text{Notice that}, F_2(x,y) \text{ is an arrow } F_0(x + y) \to F_0(x) + F_0(y). \end{array}$$

## Examples of butterflies: Groups II

From the classification of group extensions we know that with the short exact sequence

$$G \xrightarrow{\kappa} E \xrightarrow{\sigma} H_0$$

with a chosen set-theoretical section s of  $\sigma$  we can associate two functions  $\alpha \colon H_0 \to \operatorname{Aut} G$  and  $f \colon H_0 \times H_0 \to G$ : with  $\alpha(x)(g) = x \cdot g = sx + g - sx$  and f(x, y) = sx + sy - s(x + y). Such functions satisfy the following well known relation: for any x, y, z in  $H_0$ 

$$x \cdot f(y,z) + f(x,yz) = f(x,y) + f(xy,z).$$

It is now easy to show that this relation corresponds precisely to what is necessary in order to prove (associative) coherence for the monoidal functor  $F_E$ .

## Examples of butterflies: Lie algebras I

A groupoid in **Lie** is called a strict Lie 2-Algebra. We consider the forgetful functor  $U : \text{Lie} \rightarrow \text{Vect.}$  and we define  $F_E$  with the same technique as before.

Indeed  $F_0$  and  $F_1$  are defined in the same way (provided the semidirect product is performed in **Lie**!), while

$$F_2\colon (x,y)\mapsto ([sx,sy]-s[x,y],\rho(s[x,y])).$$

## Examples of butterflies: Lie algebras II

From the theory of Lie algebras extensions, we know that with the extension  $(\iota, \sigma)$  (and a linear section s of  $\sigma$ ) is associated a linear map  $\alpha: H_0 \rightarrow \mathbf{Der}G$ ,  $\alpha(x)(g) = x \cdot g = [sx, g]$ , and a bilinear skew-symmetric map  $f: H_0 \times H_0 \rightarrow G$ , f(x, y) = [sx, sy] - s[x, y]. These maps satisfy the relations

(i) for any x, y in  $H_0$ ,  $[\alpha(x), \alpha(y)] - \alpha([x, y]) = ad_{f(x,y)}$ (ii) for any x, y, z in  $H_0$ 

$$\sum_{\text{cyclic}} (x \cdot f(y, z) - f([x, y], z)) = 0$$

where  $\operatorname{ad}_g$  is the (adjoint) action defined by  $\operatorname{ad}_g(g') = [g, g']$ . The first relation helps in proving the naturality of  $F_2$ , the second yields the coherence of the bracket operation with respect to the jacobian identity.

# Examples of butterflies: Rings I

We call (strict) 2-*ring* a groupoid in the category of rings.

We consider the forgetful functor  $U \colon \mathbf{Rng} \to \mathbf{Set}_*$ . The definition

of  $F_E$  goes verbatim as in the case of groups, the additive notation expressing the underlying abelian group.

The exact sequence  $(\iota, \sigma)$  provides the data for proving that  $F_E$  is a 2-ring homomorphism.

# Examples of butterflies: Rings II

In fact we use *s*, the set-theoretical section of  $\sigma$ , to define  $f, \epsilon: H_0 \times H_0 \rightarrow G: f(x, y) = sx + sy - s(x + y)$ ,  $\epsilon(x, y) = sx \cdot sy - s(x \cdot y)$ , and a map  $\alpha: H_0 \rightarrow \mathbf{Bim}G$  with  $\alpha(x)(g) = (sx \cdot g, g \cdot sx)$ . Then the following relations hold for any x, y, z and *t* in  $H_0$ 

(i) 
$$\alpha(x) + \alpha(y) - \alpha(x+y) = \mu_{f(x,y)}$$
  
(ii)  $\alpha(x) \circ \alpha(y) - \alpha(xy) = -\mu_{\epsilon(x,y)}$   
(iii)  $f(0,y) = 0 = f(x,0)$  and  $\epsilon(0,y) = 0 = \epsilon(x,0)$   
(iv)  $f(x,y) + f(z,t) - f(x+z,y+t) - f(x,z) - f(y,t) + f(x+y,z+t) = 0$   
(v)  $-\epsilon(x,t) - \epsilon(y,t) + \epsilon(x+y,t) + f(xt,yt) - f(x,y) \cdot t = 0$   
(vi)  $\epsilon(t,x) + \epsilon(t,y) - \epsilon(t,x+y) - f(tx,ty) + f \cdot h(x,y) = 0$   
(vii)  $x \cdot \epsilon(y,z) - \epsilon(xy,z) + \epsilon(x,yz) - \epsilon(x,y) \cdot z = 0$   
where  $\mu_g$  is the inner bimultiplication induced by the multiplication with  $\sigma$ 

# Examples of butterflies: Rings III

Now, (i) and (ii) give the naturality of  $F_2$ . Moreover, since the normalization conditions (iii) hold, the relation (*iv*) gives at once associative and symmetric coherence: actually for y = 0 we obtain the cocycle condition for the underlying (abelian) group extension, while letting x = t = 0 we get the symmetric coherence. Finally (vii) yields the associative coherence for the multiplication, and (v) and (vi) give the distributive coherence.

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